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INTELLECTUAL PROPERTY LAW
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RELATED MATTERS



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Group 2700

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(1939-1999)

*REGISTERED PATENT ATTORNEYS
M. NED BRINER,
EXECUTIVE DIRECTOR

PATENT APPLICATION
Docket No.: T4855.CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT:
BEFORE PAYMENT OF ISSUE FEE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- ☒ Form PTO-1449 list of 18 references submitted for consideration.
- ☒ Legible copies of the listed references or their relevant portions.
- ☐ All English translations of each non-english reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- ☐ Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.

April 26, 2000

Page 2

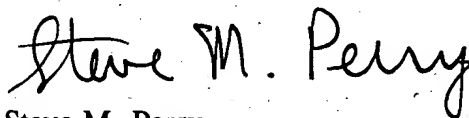
- Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

- Promptness Certification.
- Check No. _____ in the amount of \$ (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
- Petition for Consideration and Check No. _____ in the amount of \$(amount in § 1.17(i)(1)) constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).
- In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Dated this 26 day of April, 2000.

Respectfully submitted,



Steve M. Perry
Attorney for Applicant
Registration No. 45,357
THORPE, NORTH & WESTERN, L.L.P.
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Group 2700

PATENT APPLICATION
DOCKET NO. T4855.CIP

K. Ward
5/5/00
#4/Run
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Joseph O. Norris, et al
SERIAL NO.: 09/478,114
FILED: 01/04/2000
FOR: PIEZOELECTRIC FILM SONIC
EMITTER
ART UNIT: 2747
EXAMINER:
DOCKET NO.: T4855.CIP

**CERTIFICATE OF DEPOSIT
UNDER 37 C.F.R. § 1.8**

I hereby certify that this
correspondence is being deposited
with the United States Postal
Service as First Class Mail, postage
prepaid, under 37 C.F.R. § 1.8 on
the date indicated below and is
addressed to Assistant
Commissioner of Patents,
Washington, D.C. 20231.

Karen Jacobson

4-28-2000

Date of Deposit

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Box: DD
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to 37 C.F.R. § 1.97 (b)(1), within three months

Assistant Commissioner for Patents
April 26, 2000
Page 2

of the filing date of the application or before the receipt of a first office action and requests that the references cited in the enclosed form PTO-1449 be made of record in the above-captioned application. While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

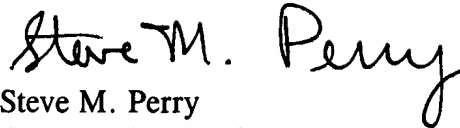
Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

Assistant Commissioner for Patents
April 26, 2000
Page 3

DATED this 26 day of April, 2000.

Respectfully submitted,

A handwritten signature in black ink that reads "Steve M. Perry". The signature is written in a cursive, flowing style.

Steve M. Perry
Attorney for Applicant
Registration No. 45,357

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SMP/kj

LIST OF PRIOR ART CITED BY APPLICANT

Sheet 1 of 1

Application Number

09/478,114

Filing Date

01/04/2000

First Named Inventor

Joseph O. Norris

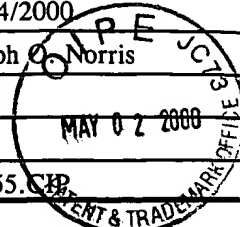
Group Art Unit

2747

Examiner Name

Attorney Docket Number

T4855.CBP



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U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,357,578	10/18/94	TANIISHI			Group 2700
	AB	4,593,160	06/03/86	NAKAMURA			
	AC	5,287,331	02/15/94	SHINDEL			
	AD	5,153,859	10/06/92	CHATIGNY			
	AE	4,672,591	06/09/87	BREIMESSER			
	AF	4,558,184	12/10/85	BUSCH-VISHNIAC			
	AG	4,439,642	03/27/84	REYNARD			
	AH	4,429,193	01/31/84	BUSCH-VISHNIAC			
	AI	4,887,246	12/12/89	HOSSACK ET AL			
	AJ	4,888,086	12/19/89	HOSSACK ET AL			
	AK	4,695,986	09/22/87	HOSSACK			
	AL	4,284,921	08/18/81	LEMONON ET AL			
	AM	4,064,375	12/20/77	RUSSELL ET AL			
	AN	5,700,359	12/23/97	BAUER			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO

OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.)

	AO		Aoki, K., et al., "Parametric Loudspeaker --Characteristics of Acoustic Field and Suitable Modulation of Carrier Ultrasound," Electronics and Communications in Japan, Part 3, Vol. 74, No. 9, pp. 76-82 (1991).
	AP		Makarov, S.N., et al., "Parametric Acoustic Nondirectional Radiator," Acustica, Vol. 77, pp. 240-242 (1992).
	AQ		Westervelt, P.J., "Parametric Acoustic Array, "The Journal of the Acoustical Society of America," Vol. 35, No. 4, pp. 535-537 (1963).
	AR		Yoneyama, M., et al., "The Audio Spotlight: An Application of Nonlinear Interaction of Sound Waves to a New Type of Loudspeaker Design," J. Acoust. Soc. Am., Vol. 75, No. 5, pp. 1532-1536 (1983).

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.